

For calendar year 2024 or tax year beginning 10/01/2024 and ending 09/30/2025

Name of foundation THE ESTHER A. & JOSEPH KLINGENSTEIN FUND, INC		A Employer identification number 13-6028788
Number and street (or P.O. box number if mail is not delivered to street address) 360 MADISON AVE FLOOR 7		B Telephone number (see instructions) (212) 583-1100
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here. ☐
G Check all that apply:	☐ Initial return ☐ Final return ☒ Address change	D 1. Foreign organizations, check here. ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation. ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 429,915,546.	J Accounting method: ☐ Cash ☐ Accrual ☒ Other (specify) MODIFIED CASH (Part I, column (d), must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	242,773,037.			
	2 Check ☐ if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	3,494,004.	3,494,004.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	82,898,472.			
	b Gross sales price for all assets on line 6a 218,846,132.				
	7 Capital gain net income (from Part IV, line 2)		82,898,472.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	26,552.	-588.		STMT 1
	12 Total. Add lines 1 through 11	329,192,065.	86,391,888.		
	13 Compensation of officers, directors, trustees, etc.	331,781.			331,781.
	14 Other employee salaries and wages	368,933.			368,933.
	15 Pension plans, employee benefits	224,971.			224,971.
	16a Legal fees (attach schedule) STMT 2	101,335.	NONE	NONE	101,335.
	b Accounting fees (attach schedule) STMT 3	53,077.	26,539.	NONE	26,538.
	c Other professional fees (attach schedule) *	567,382.	429,633.		137,749.
	17 Interest				
	18 Taxes (attach schedule) (see instructions). **	42,359.	359.		
	19 Depreciation (attach schedule) and depletion	66,397.			
	20 Occupancy	436,058.			436,058.
	21 Travel, conferences, and meetings	243,448.			243,448.
	22 Printing and publications				
	23 Other expenses (attach schedule) STMT 6	130,065.	15,245.		114,820.
	24 Total operating and administrative expenses. Add lines 13 through 23.	2,565,806.	471,776.	NONE	1,985,633.
	25 Contributions, gifts, grants paid	16,857,159.			16,857,159.
	26 Total expenses and disbursements. Add lines 24 and 25	19,422,965.	471,776.	NONE	18,842,792.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	309,769,100.			
	b Net investment income (if negative, enter -0-)		85,920,112.		
	c Adjusted net income (if negative, enter -0-)			-0-	

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing	309,707.	87,927.	87,927.
	2 Savings and temporary cash investments	220,196.	124,630.	124,630.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations (attach schedule). **	633,257.	108,870.	109,294.
	b Investments - corporate stock (attach schedule)			
	c Investments - corporate bonds (attach schedule)			
Liabilities	11 Investments - land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) STMT 8 .	117,836,203.	347,199,445.	428,964,304.
	14 Land, buildings, and equipment: basis 1,136,714.			STMT 11
	Less: accumulated depreciation (attach schedule) 687,494.	515,617.	449,220.	450,790.
	15 Other assets (describe STMT 12)	187,550.	178,601.	178,601.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	119,702,530.	348,148,693.	429,915,546.
Net Assets or Fund Balances	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons . .			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	NONE	NONE	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30 ☒ X			
	24 Net assets without donor restrictions	119,702,530.	348,148,693.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30 ☐			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds . .			
	29 Total net assets or fund balances (see instructions)	119,702,530.	348,148,693.	
Net Assets or Fund Balances	30 Total liabilities and net assets/fund balances (see instructions)	119,702,530.	348,148,693.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	119,702,530.
2	Enter amount from Part I, line 27a	2	309,769,100.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 13	3	17.
4	Add lines 1, 2, and 3	4	429,471,647.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 14	5	81,322,954.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	348,148,693.

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STMT 7

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)	
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	82,898,471.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		} If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8		3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		1	1,194,290.
b All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	
3 Add lines 1 and 2		3	1,194,290.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,194,290.
6 Credits/Payments:			
a 2024 estimated tax payments and 2023 overpayment credited to 2024	6a 33,542.		
b Exempt foreign organizations - tax withheld at source	6b NONE		
c Tax paid with application for extension of time to file (Form 8868)	6c 3,368,103.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	3,401,645.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	NONE
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	2,207,355.
11 Enter the amount of line 10 to be: Credited to 2025 estimated tax 450,000. Refunded		11	1,757,355.

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Part VI-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ _____ (2) On foundation managers. \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. NY,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2024 or the tax year beginning in 2024? See the instructions for Part XIII. If "Yes," complete Part XIII		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address _____ N/A	X	
14 The books are in care of <u>JANET L MULLIGAN</u> Telephone no. <u>583-1100 X234</u> Located at <u>360 MADISON AVE FLOOR 7 NEW YORK, NY</u> ZIP+4 <u>10017</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15		
16 At any time during calendar year 2024, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

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Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)	X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)	X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	X
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)	X
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)	X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	X
c Organizations relying on a current notice regarding disaster assistance, check here. ☐		
d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2024?	1d	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2024, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2024? If "Yes," list the years	2a	X
_____ , _____ , _____ , _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. _____ , _____ , _____ , _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a	X
b If "Yes," did it have excess business holdings in 2024 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2024.)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2024?	4b	X

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Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		X
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?		X
(3) Provide a grant to an individual for travel, study, or other similar purposes?		X
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.		X
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		X
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		
c Organizations relying on a current notice regarding disaster assistance, check here ☐		
d If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		X
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?		X

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		343,999.	69,827.	NONE

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 20		368,934.	31,809.	NONE

Total number of other employees paid over \$50,000 **NONE**

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 22		429,633.
Total number of others receiving over \$50,000 for professional services		NONE

Part VIII-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part VIII-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	377,627,957.
b	Average of monthly cash balances	1b	1,241,260.
c	Fair market value of all other assets (see instructions)	1c	2,281,769.
d	Total (add lines 1a, b, and c)	1d	381,150,986.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	381,150,986.
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)	4	5,717,265.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3	5	375,433,721.
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	18,771,686.

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	18,771,686.
2a	Tax on investment income for 2024 from Part V, line 5.	2a	1,194,290.
b	Income tax for 2024. (This does not include the tax from Part V.)	2b	
c	Add lines 2a and 2b.	2c	1,194,290.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	17,577,396.
4	Recoveries of amounts treated as qualifying distributions	4	255.
5	Add lines 3 and 4	5	17,577,651.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	17,577,651.

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	18,842,792.
b	Program-related investments - total from Part VIII-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4	4	18,842,792.

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Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2023	(c) 2023	(d) 2024
1 Distributable amount for 2024 from Part X, line 7				17,577,651.
2 Undistributed income, if any, as of the end of 2024:				
a Enter amount for 2023 only.			6,430,715.	
b Total for prior years: 20 22 , 20 21 , 20 20				
3 Excess distributions carryover, if any, to 2024:				
a From 2019				
b From 2020				
c From 2021				
d From 2022				
e From 2023				
f Total of lines 3a through e				
4 Qualifying distributions for 2024 from Part XI, line 4: \$ 18,842,792.				
a Applied to 2023, but not more than line 2a . . .			6,430,715.	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2024 distributable amount.				12,412,077.
e Remaining amount distributed out of corpus. . .	NONE			
5 Excess distributions carryover applied to 2024 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2023. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2024. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2025.				5,165,574.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2019 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2025. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2020 . . .				
b Excess from 2021 . . .				
c Excess from 2022 . . .				
d Excess from 2023 . . .				
e Excess from 2024 . . .	NONE			

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2024, enter the date of the ruling**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2024	(b) 2023	(c) 2022	(d) 2021	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4, for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: . .					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part IX, line 6, for each year listed . .					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:**b** The form in which applications should be submitted and information and materials they should include:**c** Any submission deadlines:**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XIV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment					
Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
SEE STATEMENT 23					16,857,159.

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SHORT-TERM CAPITAL GAIN THRU PASS-THRU E PROPERTY TYPE: SECURITIES 964.				P	9/30/2025 -964.	9/30/2025
150,320.		LONG-TERM CAPITAL GAIN THRU PASS-THRU EN PROPERTY TYPE: SECURITIES				P	09/30/2025 150,320.	9/30/2025
20815955.		PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 18647225.				P	9/30/2025 2,168,730.	9/30/2025
197879857.		PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 117299472.				P	9/30/2025 80580385.	9/30/2025
TOTAL GAIN(LOSS)							----- 82898471. =====	

Schedule B
(Form 990)

(Rev. December 2024)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Attach to Form 990, 990-EZ, or 990-PF.
Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

Name of the organization

Employer identification number

THE ESTHER A. & JOSEPH KLINGENSTEIN FUND, INC

13-6028788

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

Name of organization

THE ESTHER A. & JOSEPH KLINGENSTEIN FUND, INC

Employer identification number

13-6028788

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN & PATRICIA KLINGENSTEIN FUND 360 MADISON AVE FLOOR 7 NEW YORK, NY 10017	\$ 48,134,326.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
2	ESTATE OF PATRICIA KLINGENSTEIN 360 MADISON AVE FLOOR 7 NEW YORK, NY 10017	\$ 194,638,711.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

THE ESTHER A. & JOSEPH KLINGENSTEIN FUND, INC

Employer identification number

13-6028788

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
1	SPDR S&P 500 ETF TR TR UNIT CLOSED END SBI/CBI ETF	\$ 27,463,347.	12/30/2024
2	VANGUARD INDEX FDS SML CP GRW ETF CLOSED END SBI/CBI ETF	\$ 11,723,439.	12/30/2024
3	PORTFOLIO OF PUBLICLY TRADED SECURITIES	\$ 194,638,711.	10/08/2024
		\$	
		\$	
		\$	
		\$	

Name of organization

THE ESTHER A. & JOSEPH KLINGENSTEIN FUND, INC

Employer identification number

13-6028788

Part III **Exclusively** religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
_____	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
_____	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
_____	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
INCOME (LOSS) PASSTHRU ENTITIES	26,296.	-588.
EARLY CHILDHOOD GRANT REFUND	239.	
DARTMOUTH COLLEGE GRANT REFUND	17.	
	-----	-----
TOTALS	26,552.	-588.
	=====	=====

FORM 990PF, PART I - LEGAL FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
LEGAL FEES	101,335.			101,335.
	-----	-----	-----	-----
TOTALS	101,335.	NONE	NONE	101,335.
	=====	=====	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
BOOKKEEPING FEES	36,157.	18,079.		18,078.
TAX PREPARATION FEE	16,920.	8,460.		8,460.
	-----	-----	-----	-----
TOTALS	53,077.	26,539.	NONE	26,538.
	=====	=====	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
CONSULTING FEES	70,749.			70,749.
INVESTMENT ADVISORY FEES	429,633.	429,633.		
PROFESSIONAL FEES NEUROSCIENCE	18,000.			18,000.
NEUROSCIENCE HONORARIUM	41,000.			41,000.
EARLY CHILDHOOD HONORARIUM	8,000.			8,000.
	-----	-----		-----
TOTALS	567,382.	429,633.		137,749.
	=====	=====		=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	359.	359.
FEDERAL EXCISE TAX	42,000.	
	-----	-----
TOTALS	42,359.	359.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
PAYROLL FEES	17,785.		17,785.
IT SERVICES	24,646.		24,646.
INSURANCE	4,947.		4,947.
PASS-THRU DEDUCTIONS	15,245.	15,245.	
OFFICE EXPENSES	67,442.		67,442.
	-----	-----	-----
TOTALS	130,065.	15,245.	114,820.
	=====	=====	=====

THE ESTHER A. & JOSEPH KLINGENSTEIN FUND, INC

13-6028788

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
110,000 SHS U.S TREASURY BILLS	633,257.	108,870.	109,294.
	-----	-----	-----
US OBLIGATIONS TOTAL	633,257.	108,870.	109,294.
	=====	=====	=====

FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
INVESTMENT IN PARTNERSHIPS	1,775,812.	2,408,956.	2,408,955.
488,012 SHS SPDR S&P 500	82,461,288.	249,122,043.	325,103,834.
340,876 SHS VANGUARD INDEX FDS	33,599,103.	95,668,446.	101,451,515.
	-----	-----	-----
TOTALS	117,836,203.	347,199,445.	428,964,304.
	=====	=====	=====

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT
=====

FIXED ASSET DETAIL					ACCUMULATED DEPRECIATION DETAIL				
ASSET DESCRIPTION	METHOD/ CLASS	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
LEASEHOLD	SL	2,819.			2,819.	1,112.	188.		1,300.
LEASEHOLD	SL	12,247.			12,247.	4,692.	816.		5,508.
LEASEHOLD	SL	33,392.			33,392.	12,429.	2,226.		14,655.
LEASEHOLD	SL	19,114.			19,114.	6,901.	1,274.		8,175.
LEASEHOLD	SL	20,513.			20,513.	7,410.	1,368.		8,778.
LEASEHOLD	SL	7,375.			7,375.	2,624.	492.		3,116.
LEASEHOLD	SL	46,058.			46,058.	16,123.	3,071.		19,194.
LEASEHOLD	SL	136,616.			136,616.	46,299.	9,108.		55,407.
LEASEHOLD	SL	16,054.			16,054.	5,261.	1,070.		6,331.
LEASEHOLD	SL	158,163.			158,163.	51,842.	10,544.		62,386.
LEASEHOLD	SL	5,008.			5,008.	1,586.	334.		1,920.
LEASEHOLD	SL	73,005.			73,005.	23,118.	4,867.		27,985.
LEASEHOLD	SL	22,631.			22,631.	7,042.	1,509.		8,551.
LEASEHOLD	SL	7,457.			7,457.	2,278.	497.		2,775.
LEASEHOLD	SL	29,583.			29,583.	8,874.	1,972.		10,846.
LEASEHOLD	SL	31,424.			31,424.	9,078.	2,095.		11,173.
LEASEHOLD	SL	536.			536.	144.	36.		180.
LEASEHOLD	SL	4,409.			4,409.	1,298.	294.		1,592.

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT
=====

FIXED ASSET DETAIL					ACCUMULATED DEPRECIATION DETAIL				
ASSET DESCRIPTION	METHOD/ CLASS	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
LEASEHOLD	SL	16,867.			16,867.	5,152.	1,124.		6,276.
FURNITURE & EQUIP	M5	74,993.			74,993.	70,674.	4,319.		74,993.
FURNITURE & EQUIP	M5	2,169.			2,169.	2,169.			2,169.
FURNITURE & EQUIP	M5	775.			775.	730.	45.		775.
FURNITURE & EQUIP	M5	3,639.			3,639.	3,429.	210.		3,639.
FURNITURE & EQUIP	M5	14,174.			14,174.	13,358.	816.		14,174.
FURNITURE & EQUIP	M5	81,767.			81,767.	77,057.	4,710.		81,767.
FURNITURE & EQUIP	M5	4,743.			4,743.	4,470.	273.		4,743.
FURNITURE & EQUIP	M5	5,175.			5,175.	4,877.	298.		5,175.
FURNITURE & EQUIP	M5	133,956.			133,956.	126,241.	7,715.		133,956.
FURNITURE & EQUIP	M5	1,500.			1,500.	1,414.	86.		1,500.
LEASEHOLD	SL	47,665.			47,665.	11,122.	3,178.		14,300.
WEBSITE & BRANDING	M3	16,950.			16,950.	10,986.			10,986.
WEBSITE & BRANDING	M3	62,099.			62,099.	62,099.			62,099.
WEBSITE & BRANDING	M3	15,900.			15,900.	15,900.			15,900.
LEASEHOLD	SL	3,346.			3,346.	669.	223.		892.
LEASEHOLD	SL	1,421.			1,421.	182.	95.		277.
LEASEHOLD	SL	11,900.			11,900.	1,520.	793.		2,313.

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT
=====

FIXED ASSET DETAIL					ACCUMULATED DEPRECIATION DETAIL				
ASSET DESCRIPTION	METHOD/ CLASS	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
LEASEHOLD	SL	10,925.			10,925.	910.	728.		1,638.
LEASEHOLD	SL	345.			345.	27.	23.		50.
		-----			-----	-----			-----
TOTALS		1,136,713.			1,136,713.	621,097.			687,494.
		=====			=====	=====			=====

FORM 990PF, PART II - OTHER ASSETS

=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
CONFERENCE DEPOSIT	69,362.	55,719.	55,719.
SECURITY DEPOSIT	118,188.	118,188.	118,188.
OTHER ASSETS		4,694.	4,694.
	-----	-----	-----
TOTALS	187,550.	178,601.	178,601.
	=====	=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION	AMOUNT
-----	-----
RECOVERY OF DARTMOUTH COLLEGE GRANT	17.

TOTAL	17.
	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
DONATED STOCKS FAIR MARKET ADJUSTMENT	81,322,954.

TOTAL	81,322,954.
	=====

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:
ANDREW KLINGENSTEIN

ADDRESS:
360 MADISON AVE FLOOR 7
NEW YORK, NY 10017

TITLE:
TRUSTEE, CEO

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5.00

OFFICER NAME:
NANCY K SIMPKINS

ADDRESS:
360 MADISON AVE FLOOR 7
NEW YORK, NY 10017

TITLE:
VICE PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5.00

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:
SARAH K MARTELL

ADDRESS:
360 MADISON AVE FLOOR 7
NEW YORK, NY 10017

TITLE:
SECRETARY, TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 0.50

OFFICER NAME:
JULIE KLINGENSTEIN

ADDRESS:
360 MADISON AVE FLOOR 7
NEW YORK, NY 10017

TITLE:
TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5.00

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:
ALAN KLINGENSTEIN

ADDRESS:
360 MADISON AVE FLOOR 7
NEW YORK, NY 10017

TITLE:
TREASURER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 0.50

OFFICER NAME:
EMMA BROWNSTEIN

ADDRESS:
360 MADISON AVE FLOOR 7
NEW YORK, NY 10017

TITLE:
TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 2.00

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
=====

OFFICER NAME:
ELIOT BRENNER

ADDRESS:
360 MADISON AVE FLOOR 7
NEW YORK, NY 10017

TITLE:
EXECUTIVE DIRECTOR, TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 30.00

COMPENSATION 343,999.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS 69,827.

OFFICER NAME:
ALEXANDRA D. KLINGENSTEIN

ADDRESS:
360 MADISON AVE FLOOR 7
NEW YORK, NY 10017

TITLE:
TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 0.50

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
=====

OFFICER NAME:
GREG BROWNSTEIN

ADDRESS:
360 MADISON AVE FLOOR 7
NEW YORK, NY 10017

TITLE:
TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 0.50

OFFICER NAME:
ZACHARY TOAL

ADDRESS:
360 MADISON AVE FLOOR 7
NEW YORK, NY 10017

TITLE:
TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 0.50

TOTAL COMPENSATION: 343,999.
=====

TOTAL CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS: 69,827.
=====

EXPENSE ACCOUNT AND OTHER ALLOWANCES: NONE
=====

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:
KATHLEEN POMERANTZ

ADDRESS:
360 MADISON AVE FLOOR 7
NEW YORK, NY 10017

TITLE:
DIRECTOR

COMPENSATION 154,770.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS 13,495.

EMPLOYEE NAME:
JAY ANDREWS

ADDRESS:
360 MADISON AVE FLOOR 7
NEW YORK, NY 10017

TITLE:
PROGRAM OFFICER

COMPENSATION 156,132.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS 13,346.

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES
=====EMPLOYEE NAME:
JINMO KOOADDRESS:
360 MADISON AVE FLOOR 7
NEW YORK, NY 10017TITLE:
PROGRAM ASSISTANT

COMPENSATION 58,032.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS 4,968.

TOTAL COMPENSATION: 368,934.
=====TOTAL CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS: 31,809.
=====

990PF, PART VII-COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS
=====

NAME:

COHEN KLINGENSTEIN LLC

ADDRESS:

1410 BROADWAY STE 1701
NEW YORK, NY 10018

TYPE OF SERVICE:

INV ADVISORY FEES

COMPENSATION 429,633.

COMPENSATION EXPLANATION:

COHEN KLINGENSTEIN LLC PROVIDES INVESTMENT ADVISORY SERVICES RELATING TO
THE FOUNDATION'S BROKERAGE ACCOUNT.

TOTAL COMPENSATION:

429,633.

=====

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

YALE UNIVERSITY

ADDRESS:

P.O BOX 1873

NEW HAVEN, CT 06508-1873

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 600,000.

RECIPIENT NAME:

REGENTS OF THE UNIVERSITY OF CA, SAN DIEGO

ADDRESS:

9500 GILMAN DRIVE

MC-0954

LA JOLLA, CA 92093-0954

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

THE TRUSTEES OF PRINCETON UNIVERSITY

ADDRESS:

701 CARNEGIE CENTER

PRINCETON, NJ 08540

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

THE REGENTS OF THE UNIVERSITY OF CA SAN FRANCISCO

ADDRESS:

PO BOX 748872

LOS ANGELES, CA 90074-4872

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 600,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

CORNELL UNIVERSITY

ADDRESS:

PO BOX 22

SPONSORED FINANCIAL SERVICES

ITHACA, NY 14851-0022

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

WASHINGTON UNIVERSITY IN SAINT LOUIS

ADDRESS:

CURRENT FUNDS

ST. LOUIS, MO 63112

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 300,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

STOWERS INSTITUTE FOR MEDICAL RESEARCH

ADDRESS:

1000 EAST 50TH STREET

KANSAS CITY, MO 64110

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NY

ADDRESS:

OFFICE OF THE CONTROLLER SPONSORED PROJECT FINANCE

615 WEST 131 ST

NEW YORK, NY 10027

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 350,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

PRESIDENT AND FELLOWS OF HARVARD COLLEGE (OSP)

ADDRESS:

1033 MASSACHUSETTS AVE

CAMBRIDGE, MA 02138

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 450,000.

RECIPIENT NAME:

INDIANA UNIVERSITY

ADDRESS:

501 NORTH MORTON STREET SUITE 109

BLOOMINGTON, IN 47404

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

UT SOUTHWESTERN MEDICAL CENTER

ADDRESS:

5323 HARRY HINES BLVD

MC 8850

DALLAS, TX 75391-0888

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 300,000.

RECIPIENT NAME:

UNIVERSITY OF UTAH GRANTS CONTRACTS

ADDRESS:

201 S 1460 EAST RM 406

SALT LAKE CITY, UT 84112

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NUEROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:
MASSACHUSETTS INSTITUTE OF TECHNOLOGY
ADDRESS:
77 MASSACHUSETTS AVE W98-308

CAMBRIDGE, MA 02139
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 300,000.

RECIPIENT NAME:
CHILDREN'S HOSPITAL CORPORATION GRANT MANAGEMENT
ADDRESS:
300 LONGWOOD AVE
BCH 3158 OFFICE OF SPONSORED PROGRAM
BOSTON, MA 02115

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:
UNIVERSITY OF WASHINGTON GRANT AND CONTRACT
ADDRESS:
12455 COLLECTIONS DRIVE

CHICAGO, IL 60693
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:
UNIVERSITY OF FLORIDA
ADDRESS:
SUITE 1250 EAST CAMPUS OFFICE BUILDING
PO BOX 113201
GAINESVILLE, FL 32611
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI

ADDRESS:

1 GUSTAVE LEVY PLACE

SPONSORED PROJECTS FINANCE

NEW YORK, NY 10029

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

COLD SPRING HARBOR LABORATORY

ADDRESS:

1 BUNGTOWN ROAD

COLD SPRING HARBOR, NY 11724

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

UNIVERSITY OF OREGON

ADDRESS:

PO BOX 3237

EUGENE, OR 97403-0237

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 50,000.

RECIPIENT NAME:

NEW YORK UNIVERSITY (SPONSORED PROGRAMS ADMIN)

ADDRESS:

105 EAST 17TH STREET FLOOR 4

SPONSORED PROGRAMS ADMINISTRATION

NEW YORK, NY 10003

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 300,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:
STANFORD UNIVERSITY-OSR
ADDRESS:
485 BROADWAY

REDWOOD CITY, CA 94063
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:
VANDERBILT UNIVERSITY
ADDRESS:
2301 VANDERBILT PLACE

NASHVILLE, TN 37240
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

TRUSTEES OF BOSTON UNIVERSITY

ADDRESS:

PO BOX 28763

NEW YORK, NY 10087-8763

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 75,000.

RECIPIENT NAME:

UNIVERSITY OF CALIFORNIA BERKELEY

ADDRESS:

204 STANLEY HALL 3220

BERKELEY, CA 94720

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 75,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

MAX PLANCK FLORIDA CORPORATION

ADDRESS:

ONE MAX PLANCK WAY

JUPITER, FL 33458-5293

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

UNIVERSITY OF MICHIGAN

ADDRESS:

1000 VICTORS WAY SUITE 1A

ANN ARBOR, MI 48108-2744

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

DANA-FARBER CANCER INSTITUTE, INC

ADDRESS:

450 BROOKLINE AVENUE

BOSTON, MA 02215

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

UNIVERSITY OF COLORADO

ADDRESS:

13001 EAST 17TH PLACE

AURORA, CO 80045

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 300,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

ALLEN INSTITUTE

ADDRESS:

615 WESTLAKE AVENUE NORTH

SEATTLE, WA 98109

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NEUROSCIENCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

THE LENFEST INSTITUTE FOR JOURNALISM LLC

ADDRESS:

100 SOUTH INDEPENDENCE MALL WEST

PHILADELPHIA, PA 19106

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NON-PROFIT REPORTING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 500,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

NEW YORK UNIVERSITY (SPONSORED PROGRAMS ADMIN)

ADDRESS:

105 EAST 17TH STREET FLOOR 4

SPONSORED PROGRAMS ADMINISTRATION

NEW YORK, NY 10003

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 100,000.

RECIPIENT NAME:

SMART SURFACES COALITION

ADDRESS:

1712 CONNETICUT AVE NW FLOOR 2

WASHINGTON, DC 20009

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 200,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NY

ADDRESS:

615 W 131ST ST, MAIL CODE 8749

NEW YORK, NY 10027

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 200,000.

RECIPIENT NAME:

INTERSTATE RENEWABLE ENERGY COUNCIL

ADDRESS:

125 WOLF ROAD SUITE 100

COLONIE, NY 12205

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

INSTITUTE FOR MARKET TRANSFORMATION

ADDRESS:

1707 L STREET NW

WASHINGTON, DC 20036

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 200,000.

RECIPIENT NAME:

GEORGETOWN UNIVERSITY

ADDRESS:

3700 O STREET NORTHWEST

WASHINGTON, DC 20057

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 200,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

THE FUNDERS NETWORK

ADDRESS:

2000 PONCE DE LEON BLVD SUITE 500

CORAL GABLES, FL 33134

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 10,000.

RECIPIENT NAME:

CONSULTATIVE GROUP ON BIOLOGICAL DIVERSITY

ADDRESS:

PO BOX 29361

SAN FRANCISCO, CA 94129

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 8,600.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

GRID LAB

ADDRESS:

2150 ALLSTON WAY SUITE 240

BERKELEY, CA 94704

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

EARTHJUSTICE

ADDRESS:

50 CALIFORNIA ST SUITE 500

SAN FRANCISCO, CA 94111

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 200,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

AMERICAN COUNCIL FOR AN ENERGY EFFICIENT ECONOMY

ADDRESS:

529 14TH STREET NORTHWEST SUITE 600

WASHINGTON, DC 20045

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

RESOURCES LEGACY FUND

ADDRESS:

400 CAPITAL MALL SUITE 2150

SACRAMENTO, CA 95814

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 100,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

ENVIRONMENTAL LAW & POLICY CENTER

ADDRESS:

35 EAST WACKER DRIVE SUITE 1600

CHICAGO, IL 60601

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

BUILDING DECARBONIZATION COALITION

ADDRESS:

116 FRONT STREET

PO BOX 642

LEWES, DE 19958

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

CENTER FOR RURAL AFFAIRS

ADDRESS:

145 N MAIN STREET

LYONS, NE 68038

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

ROCKY MOUNTAIN INSTITUTE

ADDRESS:

2490 JUNCTION PLACE SUITE 200

BOULDER, CO 80301

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:
ENVIRONMENTAL LAW INSTITUTE
ADDRESS:
1730 M STREET NORTHWEST

WASHINGTON, DC 20036
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 200,000.

RECIPIENT NAME:
CONSERVATION LAW FOUNDATION INC
ADDRESS:
62 SUMMER STREET

BOSTON, MA 02110
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

NEW BUILDINGS INSTITUTE, INC

ADDRESS:

151 SW 1ST AVE SUITE 300

PORTLAND, OR 97204-3558

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

THE GREAT PLAINS INSTITUTE FOR SUSTAINABLE DEV

ADDRESS:

2801 21ST AVE SOUTH

MINNEAPOLIS, MN 55407

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 175,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

SOUTHERN ENVIRONMENTAL LAW CENTER

ADDRESS:

120 GARRETT STREET SUITE 400

CHARLOTTESVILLE, VA 22902

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

NATIONAL WILDLIFE FEDERATION

ADDRESS:

11100 WILDLIFE CENTER DRIVE

RESTON, VA 20190

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 100,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

ANACOSTIA WATERSHED SOCIETY INC

ADDRESS:

4302 BALTIMORE AVE
THE GEORGE WASHINGTON HOUSE
BLADENBURG, MD 20710

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....	215,460.
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RECIPIENT NAME:

SUSTAINABLE AGRICULTURE & FOOD SYSTEMS FUNDERS

ADDRESS:

133 EAST DE LA GUERRA #306

SANTA BARBARA, CA 93101

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....	6,050.
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FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

WORLD ARTS FOCUS

ADDRESS:

3309 BUNKER HILL ROAD

MOUNT RAINIER, MD 20712

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 100,000.

RECIPIENT NAME:

NEIGHBORHOOD DESIGN CENTER

ADDRESS:

120 W NORTH AVE #306

BALTIMORE, MD 21201

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 105,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:
CHESAPEAKE BAY FOUNDATION
ADDRESS:
6 HERNDON AVENUE

ANNAPOLIS, MD 21403
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ENVIRONMENT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 100,000.

RECIPIENT NAME:
2020 MOM
ADDRESS:
5101 SANTA MONICA BOULEVARD SUITE 8-326

LOS ANGELES, CA 90029
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD PROGRAMS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 82,119.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

STANFORD UNIVERSITY - OSR

ADDRESS:

420 MONTGOMERY STREET

STANFORD, CA 94104

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD PROGRAMS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 200,000.

RECIPIENT NAME:

BANK STREET COLLEGE OF EDUCATION

ADDRESS:

610 WEST 112TH STREET

NEW YORK, NY 10025

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD PROGRAMS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 100,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI

ADDRESS:

ONE GUSTAVE L. LEVY PLACE

BOX 1049

NEW YORK, NY 10029

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD PROGRAMS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

150,000.

RECIPIENT NAME:

LENA FOUNDATION

ADDRESS:

361 CENTENNIAL PKWY SUITE 100

LOUSVILLE, CO 80027

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD PROGRAMS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

250,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

APPLETREE INSTITUTE FOR EDUCATION INNOVATION

ADDRESS:

1801 MISSISSIPPI AVE SOUTHEAST

WASHINGTON, DC 20032

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD PROGRAMS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 100,000.

RECIPIENT NAME:

MASSACHUSETTS INSTITUTE OF TECHNOLOGY (MIT RSO)

ADDRESS:

77 MASSACHUSETTS AVE

CAMBRIDGE, MA 02139

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD PROGRAMS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 200,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

FAMILY CONNECTS INTERNATIONAL

ADDRESS:

3710 UNIVERSITY DRIVE SUITE 310

DURHAM, NC 27707

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD PROGRAMS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

BIPARTISAN POLICY CENTER

ADDRESS:

1225 EYE STREET NW SUITE 1000

WASHINGTON, DC 20005

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD POLICY/ADVOCACY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 350,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

MAINEAEYC

ADDRESS:

295 WATER STREET SUITE 10

AUGUSTA, ME 04330-4659

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD POLICY/ADVOCACY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

50,000.

RECIPIENT NAME:

CAPITA SOCIAL INC

ADDRESS:

PO BOX 2734

BLOWING ROCK, NC 28605

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD POLICY/ADVOCACY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

100,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

MATERNAL MENTAL HEALTH LEADERSHIP ALLIANCE

ADDRESS:

3068 NORTH QUINCY STREET

ARLINGTON, VA 22207

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD POLICY/ADVOCACY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 100,000.

RECIPIENT NAME:

AMERICAN CIVIL LIBERTIES UNION FOUNDATION, INC

ADDRESS:

125 BROAD STREET FLOOR 18

NEW YORK, NY 10004

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD POLICY/ADVOCACY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 250,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

VANDERBILT UNIVERSITY

ADDRESS:

2301 VANDERBILT PLACE

NASHVILLE, TN 37240

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD POLICY/ADVOCACY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 200,000.

RECIPIENT NAME:

CHAMBER OF MOTHERS, INC

ADDRESS:

60 FADEM ROAD

SPRINGFIELD, NJ 07081

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD POLICY/ADVOCACY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 50,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

THE NISKANEN CENTER INC

ADDRESS:

1201 NEW YORK AVE NW #200B

WASHINGTON, DC 20005

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD POLICY/ADVOCACY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

200,000.

RECIPIENT NAME:

HEALTH FEDERATION OF PHILADELPHIA

ADDRESS:

123 S BROAD STREET

PHILADELPHIA, PA 19109

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD POLICY/ADVOCACY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

400,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

PRESIDENT AND FELLOWS OF HARVARD COLLEGE (OSP)

ADDRESS:

1033 MASSACHUSETTS AVE

CAMBRIDGE, MA 02138

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD POLICY/ADVOCACY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 200,000.

RECIPIENT NAME:

UNITED WAY OF SOUTHERN MAINE

ADDRESS:

550 FOREST AVE SUITE 100

PORTLAND, ME 04101

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD FUNDING MODELS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 3,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

TSNE MISSIONWORKS

ADDRESS:

89 SOUTH STREET SUITE 700

BOSTON, MA 02111

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD FUNDING MODELS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 10,500.

RECIPIENT NAME:

CONNECTICUT COUNCIL FOR PHILANTHROPY

ADDRESS:

75 CARTER OAK AVENUE

HARTFORD, CT 06106

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD FUNDING MODELS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 7,500.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

MATHEMATICA, INC

ADDRESS:

600 ALEXANDER PARK DRIVE

PRINCETON, NJ 08540

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD FUNDING MODELS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

RECIPIENT NAME:

WISCONSIN EARLY CHILDHOOD ASSOCIATION INC

ADDRESS:

2908 MARKETPLACE DR STE 101

290

FITCHBURG, WI 53719

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD FUNDING MODELS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 115,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

INSTITUTE FOR MEDICAL INNOVATION

ADDRESS:

1250 CONNECTICUT AVE NORTHWEST

SUITE 700PMB 5135

WASHINGTON, DC 20036

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD FUNDING MODELS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

100,000.

RECIPIENT NAME:

REACH OUT AND READ INC

ADDRESS:

89 SOUTH STREET SUITE 201

BOSTON, MA 02111-2678

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD FUNDING MODELS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

125,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

CHILD CARE AWARE OF AMERICA

ADDRESS:

1515 NORTH COURTHOUSE ROAD FLOOR 3

ARLINGTON, VA 22201

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD FUNDING MODELS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 200,000.

RECIPIENT NAME:

NEW YORK UNIVERSITY NYULMC RESEARCH ACCOUNT

ADDRESS:

PO BOX 415026

NYU SCHOOL OF MEDECINE-SPONSORED PROGRAMS

BOSTON, MA 02241

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD FUNDING MODELS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 200,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

ZERO TO THREE

ADDRESS:

2455 M STREET NW SUITE 600

WASHINGTON, DC 20037-1125

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD FUNDING MODELS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

150,000.

RECIPIENT NAME:

HEALTH FEDERATION OF PHILADELPHIA

ADDRESS:

123 S BROAD STREET

PHILADELPHIA, PA 19109

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY CHILDHOOD FUNDING MODELS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

50,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

RELAY GRADUATE SCHOOL OF EDUCATION

ADDRESS:

25 BROADWAY FLOOR 3

NEW YORK, NY 10004

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY LITERACY GRANTS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 381,000.

RECIPIENT NAME:

THE READING LEAGUE, INC

ADDRESS:

103 WYOMING STREET FLOOR 2

SYRACUSE, NY 13204

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY LITERACY GRANTS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 269,500.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

EDUCATION TRUST, INC

ADDRESS:

1501 K STREET NW

SUITE 200

WASHINGTON, DC 20005

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY LITERACY GRANTS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

75,000.

RECIPIENT NAME:

DC EDUCATION FUND

ADDRESS:

3407 14TH STREET NORTHWEST

WASHINGTON, DC 20010

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY LITERACY GRANTS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

200,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

MINNESOTA PUBLIC RADIO

ADDRESS:

480 CEDAR STREET

SAINT PAUL, MN 55101

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY LITERACY GRANTS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 200,000.

RECIPIENT NAME:

THE ACADEMY AT MANAYUNK DBA AIM ACADEMY

ADDRESS:

1200 RIVER ROAD

CONSHOHOCKEN, PA 19428

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY LITERACY GRANTS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 250,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

EDUCATE MAINE

ADDRESS:

482 CONGRESS STREET

SUITE 303

PORTLAND, ME 04101

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY LITERACY GRANTS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

100,000.

RECIPIENT NAME:

NATIONAL COUNCIL ON TEACHER QUALITY, INC

ADDRESS:

1032 15TH ST NW SUITE #242

WASHINGTON, DC 20005

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY LITERACY GRANTS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....

250,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

BOARD OF TRUSTEES OF THE LEELAND STANFORD JUNIOR U

ADDRESS:

300 PASTEUR DR

STANFORD, CA 94305

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY LITERACY GRANTS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 250,000.

RECIPIENT NAME:

FOUNDATION FOR EXCELLENCE IN EDUCATION, INC

ADDRESS:

215 SOUTH MONROE STREET

SUITE 710

TALLAHASSEE, FL 32301

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY LITERACY GRANTS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 150,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

ASSOCIATION OF SMALL FOUNDATIONS DBA EXPONENT

ADDRESS:

1720 N ST NW FLOOR 4

WASHINGTON, DC 20036

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

OTHER

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 830.

RECIPIENT NAME:

CANDID

ADDRESS:

PO BOX 22799

NEW YORK, NY 10087-2799

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

OTHER

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 2,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

PHILANTHROPY NEW YORK

ADDRESS:

320 EAST 43RD ST

NEW YORK, NY 10017

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

OTHER

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID.....	15,600.
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TOTAL GRANTS PAID:	16,857,159.
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FORM 990-PF, PART XV-A - ANALYSIS OF OTHER REVENUE

=====

DESCRIPTION -----	BUSINESS CODE ----	AMOUNT -----	EXCLUSION CODE ----	AMOUNT -----	RELATED OR EXEMPT FUNCTION INCOME -----
ORDINARY INCOME (LOSS) THRU PASS-THRU ENTITY			01	-588.	
TOTALS		----- =====		----- =====	----- =====

Form 2220	Underpayment of Estimated Tax by Corporations	OMB No. 1545-0123
Department of the Treasury Internal Revenue Service	Attach to the corporation's tax return. Go to www.irs.gov/Form2220 for instructions and the latest information.	2024
Name THE ESTHER A. & JOSEPH KLINGENSTEIN FUND, INC		Employer identification number 13-6028788

Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment	
1 Total tax (see instructions)	1 1,194,290.
2a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a
b Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b
c Credit for federal tax paid on fuels (see instructions)	2c
d Total. Add lines 2a through 2c	2d
3 Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty.	3 1,194,290.
4 Enter the tax shown on the corporation's 2023 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5	4 28,881.
5 Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3	5 28,881.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation must file Form 2220 even if it does not owe a penalty. See instructions.	
6	☐ The corporation is using the adjusted seasonal installment method.
7	☒ The corporation is using the annualized income installment method.
8	☐ The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment				
	(a)	(b)	(c)	(d)
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year	9 02/15/2025	03/15/2025	06/15/2025	09/15/2025
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Schedule A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column.	10 7,220.	7,220.	4,214.	10,226.
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions	11 21,542.			12,000.
Complete lines 12 through 18 of one column before going to the next column.				
12 Enter amount, if any, from line 18 of the preceding column . . .	12	14,322.	7,102.	2,888.
13 Add lines 11 and 12	13	14,322.	7,102.	14,888.
14 Add amounts on lines 16 and 17 of the preceding column	14			
15 Subtract line 14 from line 13. If zero or less, enter -0- . .	15 21,542.	14,322.	7,102.	14,888.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16			
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17			
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column.	18 14,322.	7,102.	2,888.	

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

For Paperwork Reduction Act Notice, see separate instructions.

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (C corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.) See instructions	19			
20 Number of days from due date of installment on line 9 to the date shown on line 19.	20			
21 Number of days on line 20 after 4/15/2024 and before 7/1/2024	21			
22 Underpayment on line 17 x $\frac{\text{Number of days on line 21}}{366} \times 8\% (0.08)$	22	\$	\$	\$
23 Number of days on line 20 after 6/30/2024 and before 10/1/2024	23			
24 Underpayment on line 17 x $\frac{\text{Number of days on line 23}}{366} \times 8\% (0.08)$	24	\$	\$	\$
25 Number of days on line 20 after 9/30/2024 and before 1/1/2025	25			
26 Underpayment on line 17 x $\frac{\text{Number of days on line 25}}{366} \times 8\% (0.08)$	26	\$	\$	\$
27 Number of days on line 20 after 12/31/2024 and before 4/1/2025	27			
28 Underpayment on line 17 x $\frac{\text{Number of days on line 27}}{365} \times 7\% (0.07)$	28	\$	\$	\$
29 Number of days on line 20 after 3/31/2025 and before 7/1/2025	29			
30 Underpayment on line 17 x $\frac{\text{Number of days on line 29}}{365} \times \%$	30	\$	\$	\$
31 Number of days on line 20 after 6/30/2025 and before 10/1/2025	31			
32 Underpayment on line 17 x $\frac{\text{Number of days on line 31}}{365} \times \%$	32	\$	\$	\$
33 Number of days on line 20 after 9/30/2025 and before 1/1/2026	33			
34 Underpayment on line 17 x $\frac{\text{Number of days on line 33}}{365} \times \%$	34	\$	\$	\$
35 Number of days on line 20 after 12/31/2025 and before 3/16/2026	35			
36 Underpayment on line 17 x $\frac{\text{Number of days on line 35}}{365} \times \%$	36	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37	\$	\$	\$

38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns **38** \$ **NONE**

*Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 800-829-4933 to get interest rate information.

Part II Annualized Income Installment Method

		(a) First <u>2</u> months	(b) First <u>4</u> months	(c) First <u>7</u> months	(d) First <u>10</u> months
20 Annualization periods (see instructions)	20				
21 Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items	21	580,857.	812,313.	1,043,770.	85920112.
22 Annualization amounts (see instructions)	22	6.000000	3.000000	1.71429	1.200000
23a Annualized taxable income. Multiply line 21 by line 22	23a	3,485,142.	2,436,939.	1,789,324.	103104134.
b Extraordinary items (see instructions)	23b				
c Add lines 23a and 23b	23c	3,485,142.	2,436,939.	1,789,324.	103104134.
24 Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 1, or comparable line of corporation's return	24	48,443.	33,873.	24,872.	1,433,147.
25 Enter any alternative minimum tax for each payment period. See instructions	25				
26 Enter any other taxes for each payment period. See instructions.	26				
27 Total tax. Add lines 24 through 26	27	48,443.	33,873.	24,872.	1,433,147.
28 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions	28				
29 Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0-	29	48,443.	33,873.	24,872.	1,433,147.
30 Applicable percentage	30	25%	50%	75%	100%
31 Multiply line 29 by line 30	31	12,111.	16,937.	18,654.	1,433,147.

Part III Required Installments

Note: Complete lines 32 through 38 of one column before completing the next column.

		1st installment	2nd installment	3rd installment	4th installment
32 If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the smaller of the amounts in each column from line 19 or line 31	32	12,111.	16,937.	18,654.	1,433,147.
33 Add the amounts in all preceding columns of line 38. See instructions	33		7,220.	14,440.	18,654.
34 Adjusted seasonal or annualized income installments. Subtract line 33 from line 32. If zero or less, enter -0-	34	12,111.	9,717.	4,214.	1,414,493.
35 Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. Note: "Large corporations," see the instructions for line 10 for the amounts to enter.	35	7,220.	7,220.	7,220.	7,220.
36 Subtract line 38 of the preceding column from line 37 of the preceding column	36				3,006.
37 Add lines 35 and 36	37	7,220.	7,220.	7,220.	10,226.
38 Required installments. Enter the smaller of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions	38	7,220.	7,220.	4,214.	10,226.

Form **2220** (2024)

Form **4562**

Department of the Treasury
Internal Revenue Service

Go to www.irs.gov/Form4562 for instructions and the latest information.

OMB No. 1545-0172

2024

Attachment
Sequence No. **179**

Name(s) shown on return

THE ESTHER A. & JOSEPH KLINGENSTEIN FUND, INC

Identifying number

13-6028788

Business or activity to which this form relates

GENERAL DEPRECIATION

Part I Election To Expense Certain Property Under Section 179
Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions).	1	
2	Total cost of section 179 property placed in service (see instructions).	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions.	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29.	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2023 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5. See instructions	11	
12	Section 179 expense deduction. Add lines 9 and 10, but don't enter more than line 11	12	
13	Carryover of disallowed deduction to 2025. Add lines 9 and 10, less line 12.	13	

Note: Don't use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Don't include listed property. See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year. See instructions	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	47,925.

Part III MACRS Depreciation (Don't include listed property. See instructions.)

Section A			
17	MACRS deductions for assets placed in service in tax years beginning before 2024	17	18,472.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ☐		

Section B - Assets Placed in Service During 2024 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property			27.5 yrs.	MM	S/L	
i Nonresidential real property			39 yrs.	MM	S/L	

Section C - Assets Placed in Service During 2024 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 30-year			30 yrs.	MM	S/L	
d 40-year			40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instructions.	22	66,397.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V Listed Property (Include automobiles, certain other vehicles, certain aircraft, and property used for entertainment, recreation, or amusement.)

Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A - Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24a Do you have evidence to support the business/investment use claimed?		Yes	No	24b If "Yes," is the evidence written?		Yes	No	
(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use. See instructions						25		
26 Property used more than 50% in a qualified business use:								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use:								
		%			S/L -			
		%			S/L -			
		%			S/L -			
28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1.						28		
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1.						29		

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle 1	(b) Vehicle 2	(c) Vehicle 3	(d) Vehicle 4	(e) Vehicle 5	(f) Vehicle 6
30 Total business/investment miles driven during the year (don't include commuting miles) . . .						
31 Total commuting miles driven during the year						
32 Total other personal (noncommuting) miles driven						
33 Total miles driven during the year. Add lines 30 through 32						
34 Was the vehicle available for personal use during off-duty hours?	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
35 Was the vehicle used primarily by a more than 5% owner or related person?						
36 Is another vehicle available for personal use?						

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **aren't** more than 5% owners or related persons. See instructions.

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? See instructions		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," don't complete Section B for the covered vehicles.

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2024 tax year (see instructions):					
43 Amortization of costs that began before your 2024 tax year.					43
44 Total. Add amounts in column (f). See the instructions for where to report					44

Description of Property

GENERAL DEPRECIATION

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus. %	179 exp. reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Method	Conv.	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
LEASEHOLD	10/31/2018	2,819.	100.000			2,819.	1,112.	1,300.	SL		15.000				188.
LEASEHOLD	01/15/2019	12,247.	100.000			12,247.	4,692.	5,508.	SL		15.000				816.
LEASEHOLD	03/12/2019	33,392.	100.000			33,392.	12,429.	14,655.	SL		15.000				2,226.
LEASEHOLD	04/17/2019	19,114.	100.000			19,114.	6,901.	8,175.	SL		15.000				1,274.
LEASEHOLD	05/07/2019	20,513.	100.000			20,513.	7,410.	8,778.	SL		15.000				1,368.
LEASEHOLD	06/10/2019	7,375.	100.000			7,375.	2,624.	3,116.	SL		15.000				492.
LEASEHOLD	07/01/2019	46,058.	100.000			46,058.	16,123.	19,194.	SL		15.000				3,071.
LEASEHOLD	08/22/2019	136,616.	100.000			136,616.	46,299.	55,407.	SL		15.000				9,108.
LEASEHOLD	10/28/2019	16,054.	100.000			16,054.	5,261.	6,331.	SL		15.000				1,070.
LEASEHOLD	11/01/2019	158,163.	100.000			158,163.	51,842.	62,386.	SL		15.000				10,544.
LEASEHOLD	12/31/2019	5,008.	100.000			5,008.	1,586.	1,920.	SL		15.000				334.
LEASEHOLD	01/15/2020	73,005.	100.000			73,005.	23,118.	27,985.	SL		15.000				4,867.
LEASEHOLD	02/11/2020	22,631.	100.000			22,631.	7,042.	8,551.	SL		15.000				1,509.
LEASEHOLD	03/02/2020	7,457.	100.000			7,457.	2,278.	2,775.	SL		15.000				497.
LEASEHOLD	04/06/2020	29,583.	100.000			29,583.	8,874.	10,846.	SL		15.000				1,972.
LEASEHOLD	06/09/2020	31,424.	100.000			31,424.	9,078.	11,173.	SL		15.000				2,095.
LEASEHOLD	09/16/2020	536.	100.000			536.	144.	180.	SL		15.000				36.
LEASEHOLD	05/11/2020	4,409.	100.000			4,409.	1,298.	1,592.	SL		15.000				294.
LEASEHOLD	03/09/2020	16,867.	100.000			16,867.	5,152.	6,276.	SL		15.000				1,124.
Less: Retired Assets															
Subtotals.															

Listed Property

Less: Retired Assets															
Subtotals.															
TOTALS															

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
TOTALS							

*Assets Retired

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Description of Property

GENERAL DEPRECIATION

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus. %	179 exp. reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Method	Conv.	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
FURNITURE & EQUIP	03/10/2020	74,993.	100.000			74,993.	70,674.	74,993.	200DB	HY			5		4,319.
FURNITURE & EQUIP	10/21/2019	2,169.	100.000			2,169.	2,169.	2,169.	SL	HY	3.000		5		
FURNITURE & EQUIP	10/24/2019	775.	100.000			775.	730.	775.	200DB	HY			5		45.
FURNITURE & EQUIP	11/07/2019	3,639.	100.000			3,639.	3,429.	3,639.	200DB	HY			5		210.
FURNITURE & EQUIP	11/27/2019	14,174.	100.000			14,174.	13,358.	14,174.	200DB	HY			5		816.
FURNITURE & EQUIP	12/13/2019	81,767.	100.000			81,767.	77,057.	81,767.	200DB	HY			5		4,710.
FURNITURE & EQUIP	01/10/2020	4,743.	100.000			4,743.	4,470.	4,743.	200DB	HY			5		273.
FURNITURE & EQUIP	03/31/2020	5,175.	100.000			5,175.	4,877.	5,175.	200DB	HY			5		298.
FURNITURE & EQUIP	06/01/2020	133,956.	100.000			133,956.	126,241.	133,956.	200DB	HY			5		7,715.
FURNITURE & EQUIP	08/27/2020	1,500.	100.000			1,500.	1,414.	1,500.	200DB	HY			5		86.
LEASEHOLD	03/01/2021	47,665.	100.000			47,665.	11,122.	14,300.	SL		15.000				3,178.
WEBSITE & BRANDING	08/01/2020	16,950.	100.000			16,950.	10,986.	10,986.	SL	HY	3.000		3		
WEBSITE & BRANDING	10/01/2020	62,099.	100.000			62,099.	62,099.	62,099.	SL	HY	3.000		3		
WEBSITE & BRANDING	09/30/2021	15,900.	100.000			15,900.	15,900.	15,900.	SL	HY	3.000		3		
LEASEHOLD	11/08/2021	3,346.	100.000			3,346.	669.	892.	SL		15.000				223.
LEASEHOLD	11/15/2022	1,421.	100.000			1,421.	182.	277.	SL		15.000				95.
LEASEHOLD	11/15/2022	11,900.	100.000			11,900.	1,520.	2,313.	SL		15.000				793.
LEASEHOLD	06/22/2023	10,925.	100.000			10,925.	910.	1,638.	SL		15.000				728.
LEASEHOLD	07/21/2023	345.	100.000			345.	27.	50.	SL		15.000				23.
Less: Retired Assets															
Subtotals		1,136,713.				1,136,713.	621,097.	687,494.							66,397.

Listed Property

Less: Retired Assets															
Subtotals															
TOTALS		1,136,713.				1,136,713.	621,097.	687,494.							66,397.

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
TOTALS							

*Assets Retired

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